



CPA Mustapha Bernabas Mugisa, CFE, MBA

D.O.B -- 25TH AUGUST 1979.

PROFESSION: *Mr. Strategy and Corporate Governance Expert*

<p>Mustapha Bernabas Mugisa</p> <p>Board roles:</p> <p>Currently:</p> <p>a) Reachout Mbuya Board Member; and Chair, Finance, Audit and Risk Committee</p> <p>b) Council member, Institute of Corporate Governance of Uganda, and Chair Finance Committee.</p> <p>Formerly:</p> <p>a) Uganda Redcross Society Board Member, and Chair Audit, Risk And Compliance Committee.</p> <p>b) Secretary to Council, Institute of Corporate Governance of Uganda</p> <p>And other boards, I am not at liberty to disclose due to confidential nature.</p> <p>Industry Lines</p> <ul style="list-style-type: none">• ICT	<p>Overview</p> <p>20+ years of expertise in governance and leadership at board level, cybersecurity and risk management, as well as identifying and seizing process improvement opportunities to achieve operational excellence. A governance practitioner with expertise and interests in strategy formulation, execution, and operational excellence for profitable and sustainable growth.</p> <p>Mustapha is a publisher of the Book: "<i>How to Get on The Board and Be a Fantastic Director.</i>" Which has been highly acclaimed and a recommended resource for new and existing board members interested in making an impact on the Boards they serve.</p> <p>Professional Experience</p> <p>Mustapha is currently the Team Leader at Summit Consulting Ltd and a Director in the Forensics and Information Communication & Technology Advisory (ICT Security Advisory) Division of Summit Consulting Ltd. Mustapha is responsible for the strategic role out of Information Systems Audit Techniques and Methodology as well as growing the ICT Advisory division.</p> <p>While studying for the Association of Certified Chartered Accountants (ACCA), Mustapha worked as an Internal Auditor at Nile Bank Ltd. Upon completion of ACCA in June 2002, Mustapha worked with Ernst & Young first as an Auditor and later as ICT Consultant during which period he commenced</p>
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- Banking and finance
- Insurance
- Telecoms
- Public Sector
- NGOs – local and international
- Oil & Gas
- Education
- Manufacturing

Areas of Practice

- Strategy and execution
- System architecture.
Mustapha is the architect of actionTEAM GRC – a software that automates strategy, risk and compliance management processes
- Fraud examiner and investigator – who has worked as a trainer to Lawyers and Advocates of the High Court
- Computer Forensics and Cyber Security Researcher
- Enterprise Governance (Strategy and Risk) – aligning IT governance to enterprise strategy
- IT Risk Management

studied for the Certified Information Systems Auditor (CISA) certification and completed in 2004. He then obtained a Master's degree in Business Administration in 2008. During his career at Ernst & Young, one of the large audit firms, he gained a lot of consulting experience as an auditor and consultant across sectors including banking, insurance, public sector, and private sector players in areas of security, governance, and risk. He then worked for a short time at KPMG Uganda in the forensics and corporate recovery role which he left to start his own company, Summit Consulting Ltd, for which he still works. Some of his responsibilities at Summit Consulting include Re-engineering of Bank's operation, Risk Management consulting, Board training in cybersecurity offensive and defensive strategies, fraud examination and forensic investigations, IT governance review, penetration testing, cybersecurity management strategies, ISO27001 and COBIT implementations. Mustapha's countries of experience include UAE (Dubai), Thailand, Kenya, South Africa, Uganda, and Rwanda.

Mustapha has been involved in a wide range of fraud investigations, information systems and security reviews on various platforms in a diverse IT processing environment. He has also been involved in various IT consulting and implementations. Amongst these are:

- ✓ **Forensic lab** set up and training – Mustapha was involved in the installation and setup of a forensic lab for the Uganda Revenue Authority (URA). He was also the team leader in the forensic set up at the Directorate of Internal Audit, Ministry of Finance, Planning and Economic Development of Uganda. He also helped in the training of



<ul style="list-style-type: none"> • Business Process Re-engineering • Enterprise Risk Management • Knowledge Management • IT Security • Data analytics • Finance management • Computer forensics • Cyber Security • Training and facilitation on the above areas <p>Clients Served</p> <ul style="list-style-type: none"> • Ministry of Finance, Planning Economic Development of Uganda • GIZ Uganda • Inspectorate of Government of Uganda • DFCU, Post Bank etc • Ugafode • SNV NGO • Uganda Red Cross • CORSU NGO • MTN Uganda • MTN Rwanda, Tigo Rwanda, Airtel Rwanda through our affiliate partners for revenue assurance on behalf of 	<p>the users in computer forensics and advanced cybersecurity auditing</p> <ul style="list-style-type: none"> ✓ Systems Security and penetration testing– Mustapha have led as well as participated as a key consultant in undertaking penetration testing and offensive security reviews of several banks in Uganda. ✓ BCP– Mustapha was involved in the Business Continuity Planning and Disaster Recovery Management for a large manufacturing company in Uganda and Rwanda. Mustapha was involved in the documentation of the risk management manual for MTN Uganda while working at Ernst & Young. ✓ SAP and ERP post-implementation reviews- Mustapha has been involved in SAP post-implementation reviews for manufacturing companies ✓ Forensics and fraud investigations – Mustapha has led several complex fraud investigations in the not-for profit, telecommunications, private sector and government. He is known as an investigator of last resort. He is very meticulous in approach and will get o the buttom of any allegations. He often makes presentations to the Uganda Law Society members at their continuing legal education (CLEs) events on digital forensics, cyber crime and ICT security. <p style="text-align: center;">Other Relevant Experience Include</p> <ul style="list-style-type: none"> ✓ Documentation of a forensic investigation manual for the UNRA internal audit team. This included a review of local
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<p>Rwanda Revenue Authority</p> <ul style="list-style-type: none"> • Top Finance Bank • Finance Trust Bank • Post Bank Uganda • UAP Uganda • Movit Products • Electricity Regulatory Authority • Eskom, through SNG Sejjaaka, Kaawaase & Co. CPA • Pegasus Technologies Ltd • Orient Bank Ltd • Mercantile Credit Bank Ltd 	<p>and international cyber laws, best practices, and approaches. Mustapha was involved in the penetration testing review of UNRA electronic document management system to assure the confidentiality, integrity, and availability of data</p> <ul style="list-style-type: none"> ✓ Attack and Penetration of Network of large commercial banks in Uganda, Kenya, and Rwanda. (These include firewall and all the infrastructure reviews including database security assessment) ✓ Security profiling of various mainframe technologies, including RACF, z/OS, CA-Top Secret and DB2 ✓ Extranet and 3rd party links - Review of processes surrounding the application, implementation, monitoring, and security of 3rd party links ✓ Review of change control, risk management, disaster recovery, and Business Continuity management. ✓ Application Control review of ERP and non-ERP systems including open-source application engineering and customizations e.g SAP, Odoo ✓ Business Process Review and Technology inventory of hardware and software assets at a major consumer product firm ✓ Network Vulnerability Assessment ✓ Evaluation of information systems environments and application systems ✓ Evaluation of service level and outsource agreement ✓ Development of Information Security Policy. Policy development includes Internet Security/Remote Access Policy, User Authentication, and Information, Safeguarding of Information Assets and Resources, and Desktop Policy, Data classification/Ownership of data, Monitoring and compliance, Email Policy, User Awareness, and Training.
<p>Education/Qualifications</p> <ul style="list-style-type: none"> • Bachelor of Science (Applied Accounting), Oxford Brookes University, United Kingdom • Master of Business Administration (MBA), MUK • Certified Information Systems Auditor (CISA) • Certified Ethical Hacker (CEH) • Certified Hacking Forensic Investigator (CHFI) 	



<ul style="list-style-type: none"> • Certified EC Council Cyber Security & Forensics Instructor (CEI) • Certified Public Accountant (CPA) • Certified Fraud Examiner (CFE) • Certified Forensic Investigator (CrFI) • ISO27001, ITIL and COBIT Trainer and implementer (none certified). <p>Memberships/Affiliations</p> <ul style="list-style-type: none"> • Information System Audit & Control Association (ISACA) • Electronic Commerce (EC) Council International • Institute of Certified Public Accountants of Uganda 	<ul style="list-style-type: none"> ✓ Knowledge and understanding of the Information Security Scanning toolset ✓ Risk Assessment and IT process Review ✓ IT due diligence ✓ Implementing of COBIT, ITIL and ISO 27001 standards for the improved level of IT governance, change management and security (confidentiality, availability, and integrity)
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NB: The nature of investigations are very confidential. However, visit <https://www.summitcl.com/testimonials/> for few testimonials that demonstrate Mustapha's practical expertise and skill set.

More about Mustapha:

- a. Professional references are available on request.
- b. Mustapha is an exceptional Board Trainer, having participated in conducting over 50 trainings per year.



- c. Has practical knowledge of using Microsoft Office applications specifically, MS Word, MS Excel, MS Access, and MS Powerpoint which are great productivity boosters.
- d. Married, with 5 children. I live a life based on Christian values, which helps with my leadership practices and demonstrates my moral compass.
- e. Has strong communication skills – both written and public speaking – which are essential for leadership at any level.
- f. A team player and motivator. My team has always attained over 92% staff retention. I build strong cohesive teams that are critical for performance and business growth.
- g. Networking and relationship building skills. I see my work as that of relationship building for leadership growth and delivering results. These skills are essential to succeed in higher leadership roles like a director or board member
- h. Change agent. As a cybersecurity risk and risk management professional, my work faces emerging challenges from time to time that calls for proactive leadership to progress. I bring this attitude of continuous improvement in any new challenges which I find is the right medicine to any growth impediments in any setting. Those who embrace change and seek continuous improvement, win in their leadership roles.

Certification:

I, the undersigned, certify that to the best of my knowledge and belief, this CV correctly describes myself, my qualifications, and my experience. I understand that any wilful misstatement described herein may lead to my disqualification or dismissal, if engaged.

Name of Staff: Mustapha B. Mugisa

